

Ultralocalized thermal reactions in subnanoliter droplets-in-air

Eric Salm^{a,b}, Carlos Duarte Guevara^{b,c}, Piyush Dak^d, Brian Ross Dorvel^{b,e}, Bobby Reddy, Jr.^{b,c}, Muhammad Ashraf Alam^d, and Rashid Bashir^{a,b,c,1}

^aDepartment of Bioengineering, ^bMicro and Nanotechnology Laboratory, and ^cDepartment of Electrical and Computer Engineering, University of Illinois at Urbana–Champaign, Urbana, IL 61801; ^dBirck Nanotechnology Center, School of Electrical and Computer Engineering, Purdue University, West Lafayette, IN 47906; and ^eCenter for Biophysics and Computational Biology, University of Illinois at Urbana–Champaign, Urbana, IL 61801

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Miniaturized laboratory-on-chip systems promise rapid, sensitive, and multiplexed detection of biological samples for medical diagnostics, drug discovery, and high-throughput screening. Within miniaturized laboratory-on-chips, static and dynamic droplets of fluids in different immiscible media have been used as individual vessels to perform biochemical reactions and confine the products. Approaches to perform localized heating of these individual subnanoliter droplets can allow for new applications that require parallel, time-, and space-multiplex reactions on a single integrated circuit. Our method positions droplets on an array of individual silicon microwave heaters on chip to precisely control the temperature of droplets-in-air, allowing us to perform biochemical reactions, including DNA melting and detection of single base mismatches. We also demonstrate that ssDNA probe molecules can be placed on heaters in solution, dried, and then rehydrated by ssDNA target molecules in droplets for hybridization and detection. This platform enables many applications in droplets including hybridization of low copy number DNA molecules, lysing of single cells, interrogation of ligand–receptor interactions, and rapid temperature cycling for amplification of DNA molecules.

nanowire | on-chip heating | evaporation

Recent developments in high-throughput screening technologies have made it possible to process thousands of individual reaction volumes at a time (1). Previous subnanoliter screening techniques used droplets-in-oil, micromachined chambers, and other strategies (2–4). Encapsulating droplets with mineral oil, capping them with polydimethylsiloxane (PDMS), or covering and sealing microchambers with glass and a nail polish solution have all been used to minimize evaporation (3, 5–7). Similarly, biologically compatible solvents with low volatility have been used for limiting evaporation in parallel reactions for screening applications (8). Further integrating a miniaturized heating element with droplet screening technologies can enable many temperature-mediated biochemical reactions such as high-throughput melting curve analyses of individually generated subnanoliter droplets. Such individually addressable heating elements at the microscale can allow for greater spatial and temporal control of temperature profiles.

Previous on-chip, localized heating designs focused on peltier heaters, resistive heaters, or other methods (9–13). A variation on the resistive heater uses a transistor as a heater whereby adjusting the source-drain current via modulation of the gate voltage can result in heating of the fluid above the device (14). This approach, however, required a very wide gate region (~700 μm) and is incompatible with the use of picoliter scale droplets. A second approach involving microwave heating of picoliter droplets in a microfluidic device has been studied (15), but this method does not allow for individualized heating of droplets and also requires mineral oil as an encapsulation layer to minimize evaporation. Finally, optical heating methods have also been used (16, 17), but suffer from setup complexity for individually heating multiple droplets and similarly require an encapsulation layer to minimize evaporation.

Earlier, we demonstrated heating via use of individual transistors by applying an alternating current (AC) voltage at 10

MHz and 10–25 V_{rms} between the transistor's leads and the bulk substrate of a silicon-on-insulator (SOI) microribbon transistor structure (18, 19, 20). This technique focused on characterization of the temperature profile at the surface of a device in a bulk fluid and did not offer control of thermal cross-talk and by-product diffusion between heating elements. To allow for: individual reaction volumes, simple placement of droplets on heating units, minimization of evaporation without an encapsulation layer, reduction of thermal cross-talk, and elimination of by-product diffusion between heating elements, we have developed a droplet-in-air method using a low evaporation, biocompatible solvent (Protein Carrier Solution, NanoInk, Inc.) (Fig. 1). In the past, techniques using silicon wells with 0.4 nL volumes with ethylene glycol to limit evaporation when exposed to air have been reported (21). Similar techniques using glycerol as the low volatility solvent showed longer droplet stability, but with temperature limited to 37 $^{\circ}\text{C}$ (8). In our system, individually addressable, spatially multiplexed droplets were heated to above 80 $^{\circ}\text{C}$ over the course of a few minutes without any noticeable evaporation.

Results and Discussion

Control of Droplet Evaporation. The control of droplet evaporation, even at high temperature, is related to the spatial heating profile within the droplet. Simulations show that the unique heating configuration allows for highly localized and well-controlled AC heating above the device at the core of the droplet (*SI Appendix, Fig. S1*). Examination of the droplet's thermal profile shows the temperature at its perimeter has returned close to room temperature. This forms a room-temperature encapsulating shell of fluid around the droplet's heated core, which helps minimize evaporation. By comparison, extreme evaporation of droplets in bulk heating experiments compared with AC heating provides further justification for use of this localized heating technique for subnanoliter droplet-in-air heating (*SI Appendix, Fig. S2*).

Single Droplet Heating. To demonstrate the feasibility of this methodology, we first focused on temperature-mediated DNA denaturation in individual droplets. A droplet of solution with double-stranded DNA (dsDNA) was placed onto a device using microinjection (Fig. 1*B* and Table 1). For this assay, the 5' end of the DNA strand and the 3' end of a cDNA strand were modified with fluorescein (FAM) and a black hole quencher (BHQ),

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¹To whom correspondence should be addressed. E-mail: rbashir@illinois.edu.

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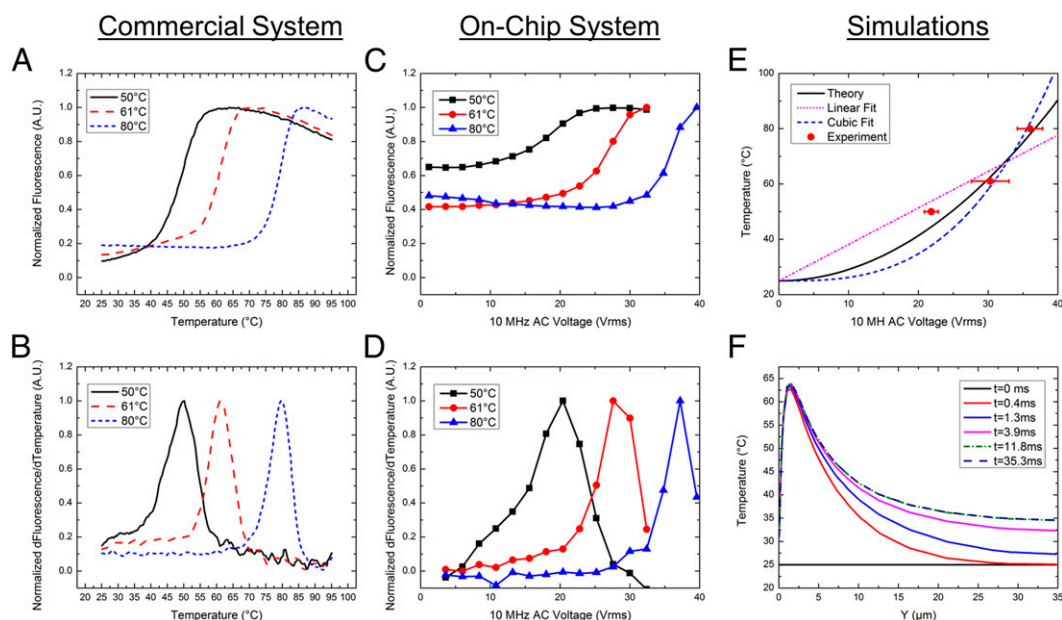


Fig. 2. Single droplet melting curves. (A) A melting curve from commercial real-time PCR machine shows an increase in fluorescence as the FRET construct denatures. (B) Derivative of A, the peak of which gives the melting temperature of the FRET construct shown in Table 1. (C) On-chip fluorescence data through a voltage sweep from 0 to 40V_{rms}. (D) Derivative of plot C showing the melting voltage of the constructs. Averages and SDs across multiple chips are shown in *SI Appendix, Table S1*. (E) Simulation versus experimental results for temperature-voltage calibration curve. Different fits are shown with R² values of linear, 0.854; cubic, 0.586; and theory's fit, 0.935. (F) Simulation of the time it takes for the temperature to stabilize within the droplet.

Mapping Voltage to Temperature for AC Heating. To understand the physical basis of the calibration curve shown in Fig. 2E, we solved coupled electrical and thermal equations self-consistently through detailed numerical simulations of the device that includes both the transistor as well as the droplet (*SI Appendix, Fig. S5*). Details of the model are explained in the *SI Appendix*, and the numerical parameters used are tabulated in *SI Appendix, Tables S2 and S4*. Fig. 2E shows that the theoretical model anticipates, with no fitting parameters, the temperature rise within the droplet well with an R² value of 0.935. Three observations related to heating are easily explained: (i) temperature scales roughly as the square of applied bias (i.e., $T \sim V_{rms}^2$); (ii) despite the inevitable variation of droplet size, the temperature can be set with excellent precision, and (iii) steady-state temperature is obtained within milliseconds of the onset of AC voltage (Fig. 2F). To explain the first observation, recall that the maximum temperature of the droplet, T_{max} , is related to the power dissipated within the droplet approximately as $T_{max} - T_0 \approx P \times R_{net}$, where R_{net} is the net thermal resistance offered to change temperature, P is the power generation due to dielectric heating, and T_0 is the temperature of the surroundings. Because the field (E) in the device is proportional to voltage (V), power scales as, $P = \frac{1}{2} \sigma E^2 \sim V^2$ (*SI Appendix, Table S3 and Fig. S10*), where σ is the electrical conductivity of the dielectric medium (buffer solution/oxide). Therefore, temperature follows the scaling relationship, $T_{max} - T_0 \sim V^2$. Second, to understand the size-independent temperature control, note that heat loss can occur through either the substrate stack or through the droplet. Neglecting the thin bottom oxide layer thickness (0.145 μm), the ratio of thermal resistance offered by these two processes can be related to the thermal conductivity of the buffer solution within the droplet (k_w) and the substrate region (k_{si}), as $\frac{R_{si}}{R_w} \sim \frac{k_w}{k_{si}}$, where R_{si} is the thermal resistance of the substrate region and R_w is the thermal resistance of the buffer solution. Because $k_w \ll k_{si}$ (*SI Appendix, Table S4*), the substrate region offers a high conduction path for temperature loss to surroundings. Therefore, $T_{max} - T_0 = P(R_{si} || R_w) \approx PR_{si}$, where $R_{si} || R_w$ represents the parallel combination of resistances due to the two regions. Hence, the maximum temperature attained is mainly determined by the thermal resistance offered by the substrate

region (R_{si}), and the temperature of the droplet can be set regardless of the inevitable variation in the droplet size. This is corroborated by experimental results that show droplets with varying diameters show the same heating characteristics (*SI Appendix, Fig. S6*). Because the heat source is localized due to fringing fields, uniformity in the temperature profile inside the droplet increases with decreases in the droplet size (*SI Appendix, Fig. S7*). Finally, a transient analysis of heat conduction (*SI Appendix*) shows that the temperature saturates quickly to the steady-state value (Fig. 2F) and hence any measurement done after $t \sim 10$ ms is stable. Recently, Issadore et al. have reported a similar saturation time due to dielectric heating of water (15).

Application 1: Parallel Melting Curves. The calibration curve, shown in Fig. 2E, can now be used to achieve specific temperature points required for a variety of biological assays. For example, to further demonstrate the system's capabilities, we performed a parallel nucleic acid denaturation study. We shorted the source contact of multiple heating elements and placed individual droplets on each device (Figs. 3A and 1D). Using different dsDNA FRET constructs with varying melting temperatures on linked devices allows us to run parallel melting curves on-chip (Fig. 3). In this experiment, a single voltage sweep interrogates three different FRET constructs. Fig. 3A shows the progression of increased fluorescence from each droplet as voltage increases. Fig. 3B and C provide the measured raw fluorescence and derivative of the raw fluorescence versus voltage for each droplet in the first experiment. To confirm heating uniformity across linked devices (sharing a common source electrode), a single FRET construct was shown to have the same melting voltage across five linked devices (*SI Appendix, Fig. S8*). This approach provides a simple method of running multiple synchronous DNA melting curves on-chip and, by extracting melting voltages of different FRET constructs, a means of quickly developing a calibration curve for each chip in a single experiment or across multiple chips (*SI Appendix, Table S7*).

Application 2: Single Base Mismatch Detection. The ability to distinguish shifts in melting temperature associated with single base

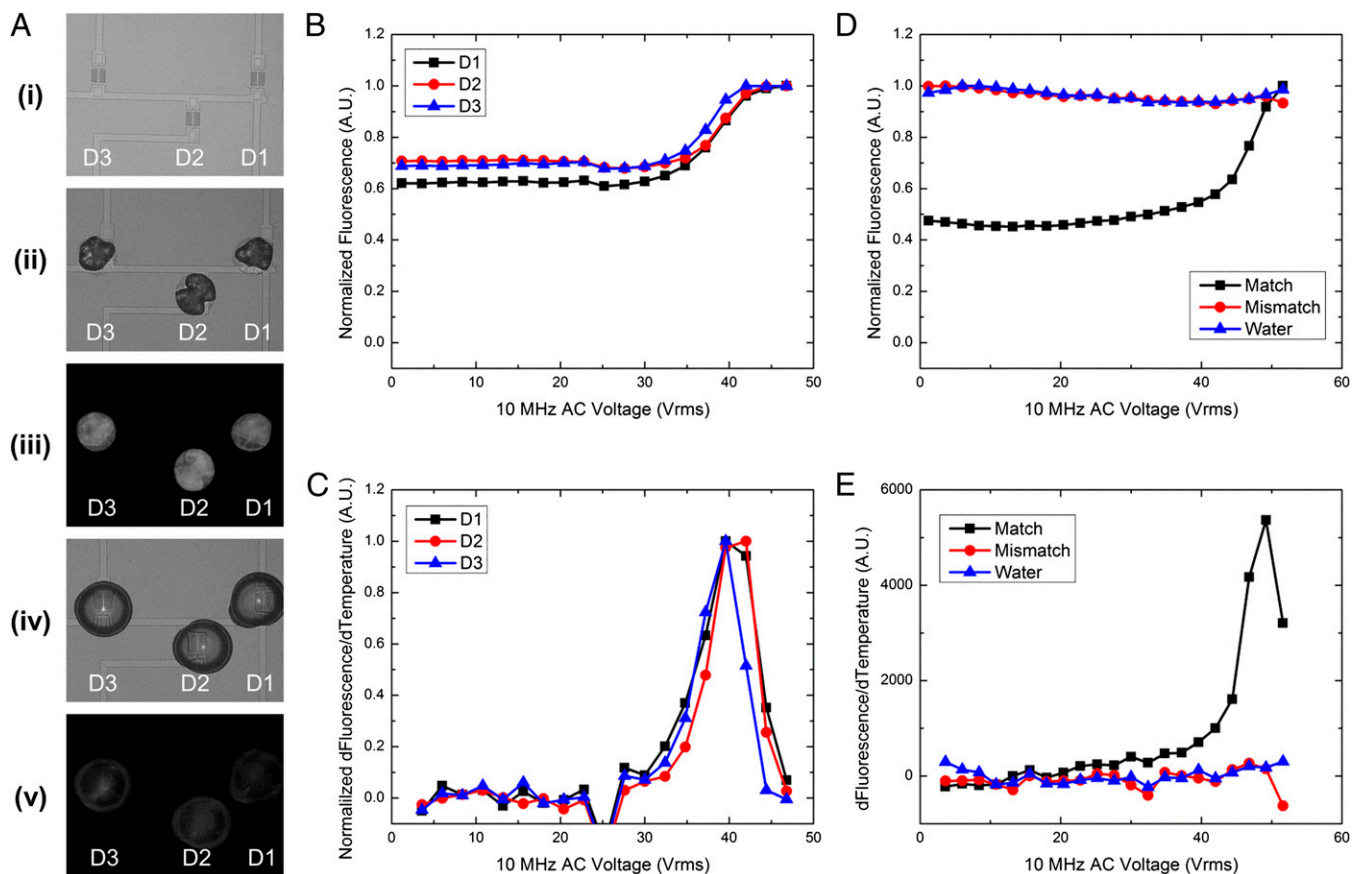


Fig. 4. Probe DNA dehydration with target DNA rehydration. (A) An example of the process flow is presented. *A, i* shows the devices before dehydration of the probe ssDNA. After spotting of the probe ssDNA (*A, ii*), the DNA in solution is allowed to dehydrate, leaving behind residual salts and DNA. *A, iii* shows a fluorescent image of the dried ssDNA spot. The fluorescence intensity is high without the presence of the FRET quencher. *A, iv* shows the rehydration of devices 1, 2, and 3. The initial fluorescence before denaturation is shown in *A, v*. The fluorescence intensity is lower than *A, iii* due to the introduction of the FRET quencher and the DNA hybridization. (B and C) A melting curve of three spots that have been rehydrated with a complementary target sequence. The increase in fluorescence shows a distinct, single peak. (E) This implies that the DNA has hybridized properly without unwanted heterodimer or self-dimer formation. (D and E) A test for specificity in the process. Spots of a dried probe sequence were rehydrated with a complementary sequence, a non-complementary sequence, or water. A distinct peak in the derivative in E implies a matching sequence.

Conclusions

Integration of various laboratory functions onto microchips has been intensely studied for many years. Lab-on-a-chip technologies are attractive as they require fewer reagents, have lower detection limits, allow for parallel analyses, and can have a smaller footprint. Further advances of these technologies require the ability to integrate additional elements, such as the miniaturized heating element described here, and the ability to integrate heating elements in a massively parallel format compatible with silicon technology (26). Notably, our miniaturized heaters could also function as dual heater/sensor elements, as these SOI nanowire or nanoribbon structures have been used to detect DNA, proteins, pH, and pyrophosphates (27–31).

In summary, by using microfabrication techniques and incorporating the unique design of transistor-based heating with individual reaction volumes, “laboratory-on-a-chip” technologies can be scaled down to “laboratory-on-a-transistor” technologies that exist as sensor/heater hybrids for point-of-care diagnostics. We elucidate a technique to heat subnanoliter droplets-in-air for visualization of DNA denaturation with resolution down to single base mismatches with application to current DNA microarray technologies. This methodology can be extended to a variety of other high-throughput screening applications such as high-speed PCR, single-cell lysis, single-molecule enzymology, and interrogation of ligand–receptor interactions in protein melting studies.

Methods

Chip Fabrication. The fabrication flow and preparation of devices as well as techniques for heating and imaging were presented previously (19). A complementary metal-oxide semiconductor (CMOS)-compatible top-down fabrication procedure was followed to create devices in SOI wafers. The wafer’s device layer was thinned down to ~ 300 Å by timed dry oxidation followed by buffered oxide etch. Active areas were lithographically defined and the rest of top silicon was etched using deep reactive ion etch. Afterward implant areas were defined with photoresist mask for Boron ion implantation. After doping, around 300 Å of silicon oxide was grown to form the gate oxide. Metal contacts (200 Å titanium/800 Å platinum) were patterned via lift off after wet etch removing of silicon oxide on top of contact regions. Finally, a 5,000 Å nitride-rich plasma enhanced chemical vapor deposition passivation layer was deposited and patterned to expose device channel and probing pads. Resulting devices were 300 Å thick with a channel that was 10 μm long and 2 μm wide.

Chip Preparation. The chip surface was coated with a hydrophobic silane monolayer. Trichloro-perfluoro-octyl silane (PFOS) was vapor deposited on the chip surface. The chips were first cleaned using an acetone, methanol, deionized (DI) rinse and then oxygen plasma clean for 5 min at 300 W. The chips were then placed in a desiccator inside a Pyrex Petri dish with 20 μL of the PFOS. A vacuum was pulled on the desiccator for 20 min to allow for vapor deposition of the silane on the device surface. After deposition, the devices were cleaned of excess using an acetone, methanol, DI rinse. Any remaining silane excess was removed using a microfiber swab.

FRET Construct Solution Preparation. Single-stranded FRET constructs were ordered from IDT, Inc. already lyophilized. The DNA was rehydrated to a concentration of 50 μM using nuclease-free DI water. The ssDNA FRET construct was then mixed in equal parts to its complementary ssDNA FRET construct. To ensure proper hybridization, the mixture was heated to 95 $^{\circ}\text{C}$ in a thermocycler for 150 s and then allowed to slowly cool to room temperature over the course of 5–10 min. To make each of the FRET construct solutions for droplet generation, 1 μL of the 25 μM dsDNA FRET solution was added to 10 μL of Protein Carrier Solution from Nanolnk and 10 μL of 3 \times SSC buffer. Macroscale melting temperature readings were taken using an Eppendorf Realplex Thermocycler. These melting temperatures were used for comparing the melting voltage versus the melting temperature for the different FRET constructs.

Microinjection Procedure. Injection of the subnanoliter droplets was accomplished using a microcapillary pressure injection system typically used for Intracytoplasmic sperm injection. A microcapillary from TransferTip F (ICSI), from Eppendorf, with a 7 μm inner diameter and 15 μm outer diameter tip, was used. The tip was inserted into a holder that connected the tip to a Narishige IM-300 pressure regulation system. The microcapillary tip holder was inserted into a 3D motorized micromanipulator system (Ultraprecise Motorized Micromanipulator from Warner Instruments). This system has 10 nm resolution with a range of 10 mm and allows accurate manipulation of the microcapillary tip for droplet placement. A Leica upright microscope was used for device visualization during droplet placement. The FRET construct, mixed with low evaporation solution, was loaded into the tip by suctioning for 2 s. The tip was positioned above the chosen device active area and the solution injected for 0.01–0.03 s, which resulted in a 50–100 μm diameter droplet with an estimated volume of hundreds of picoliters. The tip was then moved to the next device using the motorized micromanipulator, where the injection procedure was repeated.

Heating Procedure. Radio frequency (RF) dielectric heating, described previously (18, 19), was induced in each device by applying an AC bias between shorted drain/source (or only source in “common-source” experiments) and silicon substrate. Using double-sided adhesive conductive carbon tape (SPI Supplies), the chips were adhered to a brass plate that acted as chuck to form the back contact. Voltage bias was applied to specific devices by contact with micromanipulator probes to appropriate source/drain pads.

Voltages up to 40 V_{rms} at 10 MHz were applied using a function generator (Agilent 33120A) with a RF power amplifier (EIN – Model 2100L – 50 dB). Earlier studies have indicated this results in joule heating due to the mobile ions responding to the electric field within the double layer at regions of high electric field above the device. Previous studies have also shown that DNA-in-water solutions have a strong relaxation point in the low MHz regime (32, 33). This is typically considered to be due to counter ion movement around the transverse axis of the DNA molecule (34–36). Using 10 MHz and the movement of ions around the DNA molecule allows heating of a DNA-in-water solution at a much lower frequency than a traditional microwave heater. Hence, even though typically microwaves operate at 2.45 GHz as water has a strong dielectric relaxation point at 17 GHz (37), we are able to use a significantly lower frequency of 10 MHz for heating the droplets. A Matlab script was developed to control the function generator output using its embedded general purpose interface bus (GPIB) module. In this way, required voltage amplitudes were set and timed, creating a voltage ramp for melting curve calibrations.

Dehydration/Rehydration of Spotted FRET Construct. To confirm this system's ability to be used as a DNA microarray, we dehydrated FAM-modified ssDNA on devices. These DNA solutions did not contain the Protein Carrier Solution to minimize evaporation. These spots were then rehydrated with lowa BHQ-modified ssDNA. For the match/mismatch test, only one of the spots was rehydrated with the complementary sequence. The other two spots were rehydrated with either water or a noncomplementary lowa BHQ-modified ssDNA sequence. The droplets were heated using the AC technique and the fluorescence monitored to show an increase in fluorescence from DNA denaturation.

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